

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Jakobsen, Bent Karsten et al.	) Attorney Docket No. 006090.00017
Serial No. 10/532,879	) Group Art Unit: TBA
Filed: April 28, 2005	) Examiner: Not Yet Assigned
For: T CELL RECEPTOR DISPLAY	

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE DO/EO/US

Commissioner of Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In response to the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) dated March 13, 2006, Applicants submit herewith the following documents to complete the requirements for acceptance under 35 USC 371:

- 1. Fee Transmittal Sheet Form PTO-1390 (Modified) in Duplicate;
- 2. Copy of the Notification of Missing Requirements; and
- 3. Executed Declaration for Patent Application and
- 4. Executed Assignment with Recordation cover sheet.

The Commissioner for Patents is authorized to charge our Deposit Account No. 19-0733 in the amount of \$170.00, as follows:

\$ 130.00 Late declaration surcharge

\$ 40.00 Recordation of Assignment.

It is not believed that any additional fees are required. However, in the event that additional fees are necessary, then such fees are authorized to be charged to our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Sy: Syson A Walffe

Registration No. 33,568

Date: 4/2 / 46

Banner & Witcoff Ltd Customer Number: 22907

SAW:sdm

11/09/2002



## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,879	Bent JAKOBSEN	006090.00017

INTERNATIONAL APPLICATION NO.

10/30/2003

\*OC000000018232693\*

PCT/GB03/04636

I.A. FILING DATE PRIORITY DATE

22907
BANNER & WITCOFF
1001 G STREET N W
SUITE 1100
WASHINGTON, DC 20001
MAR

MAR 2 0 2006

BANNER & WITCOSE, LTD.

CONFIRMATION NO. 9328
371 FORMALITIES LETTER

Date Mailed: 03/13/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/28/2005
- Copy of the International Search Report filed on 04/28/2005
- Copy of IPE Report filed on 04/28/2005
- Preliminary Amendments filed on 04/28/2005
- Request for Immediate Examination filed on 04/28/2005
- U.S. Basic National Fees filed on 04/28/2005
- Priority Documents filed on 04/28/2005
- Specification filed on 04/28/2005
- Claims filed on 04/28/2005
- Drawings filed on 04/28/2005

Docketed	_3	1201	06	,eh
Attny_S	AW	inte	rna	tiona
Case Ref.	<u>00</u>	090	0.00	7000
Action	Resp	onse	Du	<u>.                                    </u>
Due Date				
Last Day_	10/1	3/04	0	
	Bv	cd		

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,879	PCT/GB03/04636	006090.00017

FORM PCT/DO/EO/905 (371 Formalities Notice)

107 Dan'd U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ORM PTO-1390 (Modified) TRANSMITTAL LETTER TO THE UNITED STATES 006090.00017 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/532,879 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 09 November 2002 30 October 2003 PCT/GB03/04636 TITLE OF INVENTION T CELL RECEPTOR DISPLAY APPLICANT(S) FOR DO/EO/US JAKOBSEN, Bent Karsten et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  $\boxtimes$ 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below 4. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. П are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  $\Box$ 8. 9.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14.  $\boxtimes$ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. A power of attorney and/or change of address letter. 18. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 20.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

Express Mail Label No.

21.

22.

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.	S. APPLICATION	I NO (if known, see	37 CFR 1	1.5)	INTERNATIONA	AL APP	LICA	TION NO.	ATT	ORNEY'S DO	CKET NUMBE
	10	0/532,879			PCT/G	B03/0	1463	6	006090.0001		0017
23.	Other item	s or information:  Notification of		Requiren					Requireme		
	The following fees have been submitted:								CALCU	ILATIONS	PTO USE
24.	☐ Basic nat	tional fee						\$300	\$	\$0.00	
If th prep	25. ☐ Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0  All other situations. \$200							\$	\$0.00		
If the	26. ☐ Search fee (37 CFR 1.492(b))  If the written opinionof the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. \$100  International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. \$400  All other situations. \$500							\$	\$0.00		
	TOTAL	OF 24, 25 and	126 =						\$	\$0.00	
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding										
Tota				Number of each additional 50 or fraction thereof (round up to a whole							
	- 100 =	0 /50 =			0		×	\$250.00	\$	\$0.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).									\$	\$130.00	
	CLAIMS	NUMBER F	ILED	NUM	UMBER EXTRA RATE			ATE .			
Tota	l claims		- 20 =		0	x		\$50.00	\$	\$0.00	
Inde	pendent claims	3	- 3=		0	х		\$200.00	\$	\$0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) ☐ + \$360.00								\$	\$0.00		
							\$	\$130.00			
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$	\$0.00			
	SUBTOTAL =						\$	\$130.00			
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	\$0.00			
	TOTAL NATIONAL FEE =						\$	\$130.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +						\$	\$40.00			
MKAYPAGH 00000149 190733 10532879 TOTAL FEES ENCLOSED =							\$	\$170.00			
	130.00 DA			<del></del>					Amount	to be	\$
Į									Amount	to be	\$

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

4.

a.		A check in the amount of \$	check in the amount of \$ to cover the above fees is enclosed.								
b.	$\boxtimes$	Please charge my Deposit Account No.  A duplicate copy of this sheet is enclosed.	19-0733	in the amount of \$	\$170.00	to cover the above fees.					
c.	×	The Commissioner is hereby authorized to che to Deposit Account No. 19-0733		ional fees which may bopy of this sheet is end		credit any overpayment					
d.		Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.									
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SE	ND A	LL CORRESPONDENCE TO:		$\mathcal{Q}_{0}$	un (vo)	4/4					
Ba	nner	& Witcoff, Ltd.		SIGNATUR	RE (	<i>0</i>					
Cu	stom	er Number 22907		Susan A.	Wolffe						
				NAME							
				33,568							
				REGISTRA	TION NUMBE	R					
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				DATE							